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07/03/01  
U.S. PTO  
**UTILITY  
PATENT APPLICATION  
TRANSMITTAL**

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No. 509192000220

First Inventor Russell A. Houser

Title APPARATUS AND METHODS FOR TREATING TISSUE

Express Mail Label No. EL676223619US

JC872 U.S. PTO  
09/09/2001

07/03/01

**CERTIFICATE OF MAILING BY "EXPRESS MAIL"**

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Date of Deposit: July 3, 2001

I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. § 1.10 on the date indicated above and is addressed to Assistant Commissioner for Patents, Washington, D.C. 20231.

Cindy Hoang  
Cindy Hoang**APPLICATION ELEMENTS**

See MPEP chapter 600 concerning utility patent application contents

1.  Fee Transmittal Form (e.g. PTO/SB/17) + Duplicate (2 pgs.)  
(Submit an original, and a duplicate for fee processing)

2.  Applicant claims small entity status.  
See 37 CFR 1.27.

3.  Specification [Total Pages 48]  
(preferred arrangement set forth below)  
- Descriptive title of the Invention  
- Cross Reference to Related Applications  
- Statement Regarding Fed sponsored R & D  
- Reference to sequence listing, a table, or a computer program listing appendix  
- Background of the Invention  
- Brief Summary of the Invention  
- Brief Description of the Drawings (if filed)  
- Detailed Description  
- Claim(s)  
- Abstract of the Disclosure

4.  Drawing(s) (35 USC 113) [Total Sheets 31]

5. Oath or Declaration [Total Pages 2]

a.  Newly UNexecuted (original or copy)  
b.  Copy from a prior application (37 CFR 1.63(d))  
(for continuation/divisional with Box 18 completed)  
i.  DELETION OF INVENTOR(S)  
Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b)

6.  Application Data Sheet. See 37 CFR 1.76 (2 pgs.)

ADDRESS TO: Assistant Commissioner for Patents  
Box Patent Application  
Washington, DC 20231

7.  CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)

8.  Nucleotide and/or Amino Acid Sequence Submission  
(if applicable, all necessary)

a.  Computer Readable Form (CRF)

b.  Specification Sequence Listing on  
i.  CD-ROM or CD-R (2 copies); or  
ii.  paper

c.  Statements verifying identity of above copies

**ACCOMPANYING APPLICATION PARTS**

9.  Assignment Papers (cover sheet & document(s))

10.  37 CFR 3 73(b) Statement  Power of Attorney  
(where there is an assignee)

11.  English Translation document (if applicable)

12.  Information Disclosure Statement (IDS)/PTO-1449  Copies of IDS Citations

13.  Preliminary Amendment

14.  Return Receipt Postcard (MPEP 503)  
Should be specifically itemized

15.  Certified Copy of Priority Document(s)  
(if foreign priority is claimed)

16.  Request and Certification under 35 U S C 122(b)(2)(B)(i) Applicant must attach form PTO/SB/35 or its equivalent

17.  Other

18. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

 Continuation  Divisional  Continuation-in-part (CIP) of prior application No. 09/602,436

Prior application information

Examiner 3739

Group / Art Unit Farah

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. This incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

**19. CORRESPONDENCE ADDRESS** Customer Number or Bar Code Labelor  Correspondence address below

25226

PATENT TRADEMARK OFFICE

(Insert Customer No. or Attach bar code label here)

Name	Johney U. Han				
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	755 Page Mill Rd				
City	Palo Alto	State	California	Zip Code	94304-1018
Country	United States	Telephone	(650) 813-5786	Fax	(650) 494-0792
Name (Print/Type)	Johney U. Han		Registration No. (Attorney/Agent)	45,565	
Signature	Johney U. Han		Date July 3, 2001		

# FEE TRANSMITTAL FOR FY 2001

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT

(\$ 1048)

Complete if Known	
Application Number	To Be Assigned
Filing Date	Herewith
First Named Inventor	Russell A. Houser
Examiner Name	To Be Assigned
Group Art Unit	To Be Assigned

Attorney Docket No 509192000220

## METHOD OF PAYMENT

1.  The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to

Deposit Account Number 03-1952

Deposit Account Name Morrison &amp; Foerster LLP

 Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17 Applicant claims small entity status See 37 CFR 1.272.  Payment Enclosed: Check  Credit Card  Money Order  Other

## FEE CALCULATION (continued)

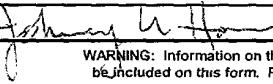
## 3. ADDITIONAL FEES

Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description	Fee Paid
105	130	205	65	Surcharge - late filing fee or oath	
127	50	227	25	Surcharge - late provisional filing fee or cover sheet	
139	130	139	130	Non-English specification	
147	2,520	147	2,520	For filing a request for ex parte reexamination	
112	920*	112	920*	Requesting publication of SIR prior to Examiner action	
113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action	
115	110	215	55	Extension for reply within first month	
116	390	216	195	Extension for reply within second month	
117	890	217	445	Extension for reply within third month	
118	1,390	218	695	Extension for reply within fourth month	
128	1,890	228	945	Extension for reply within fifth month	
119	310	219	155	Notice of Appeal	
120	310	220	155	Filing a brief in support of an appeal	
121	270	221	135	Request for oral hearing	
138	1,510	138	1,510	Petition to institute a public use proceeding	
140	110	240	55	Petition to revive - unavoidable	
141	1,240	241	620	Petition to revive - unintentional	
SUBTOTAL (1) (\$ 355)					
2. EXTRA CLAIM FEES					
Total Claims	97	-20** =	77	Extra Claims Fee from below	Fee Paid
Independent Claims	3	-3** =	0	x 9	= \$ 693
Multiple Dependent				135	= \$ 0
Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description	Fee Paid
581	40	581	40	Recording each patent assignment per properties (times number of properties)	
103	18	203	9	Claims in excess of 20	
146	710	246	355	Filing a submission after final rejection (37 CFR § 1.129(a))	
102	80	202	40	Independent claims in excess of 3	
149	710	249	355	For each additional invention to be examined (37 CFR § 1.129(b))	
104	270	204	135	Multiple dependent claims, if not paid	
179	710	279	355	Request for Continued Examination (RCE)	
109	80	209	40	**Reissue independent claims over original patent	
169	900	169	900	Request for expedited examination of a design application	
110	18	210	9	**Reissue claims in excess of 20 and over original patent	
SUBTOTAL (2) (\$ 693)				Other fee (specify)	
*Reduced by Basic Filing Fee Paid					
SUBTOTAL (3) (\$ 0)					

\*\* or number previously paid, if greater; For reissues, see above.

Other fee (specify)

Complete if applicable

Name (Print/Type)	Johney U. Han	Registration No. (Attorney/Agent)	45,565	Telephone	(650) 813-5786
Signature				Date	July 3, 2001

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, Washington, DC 20231.

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